

Privacy Policy of Quest Capital Strategies, Inc.

At Quest Capital Strategies, Inc., we understand the importance of protecting customer's privacy. Quest Capital has been committed to keeping our customer information private and secure.

At Quest Capital, we have a responsibility to protect the privacy and confidentiality of customer information. The physical, electronic and procedural safeguards we maintain comply with federal standards to save and secure information about clients from unauthorized access, alteration and destruction. For example, we maintain control policies that permit access to personal information only by individuals who need access to do their work.

Periodically, we may enter into agreements with other companies to provide services to us or to make products and services available to you. Under these agreements, the companies may receive information about you but they must protect this information and they are not permitted to use it for any other purposes. Since concerns you and your account(s) were collected by our representative(s), he/she has been instructed to protect the same while under our employ and beyond. In the event that you should transfer your account to a new broker-dealer, your information will be disclosed to the new firm.

Who is Protected by the Privacy Policy

Clients or former customers of Quest Capital are protected by this policy. Our Privacy policy is provided to customers when they open a new account and every year hereafter. Customers will be notified in advance if we change our privacy policies to permit us to share additional categories of information or to permit disclosures to additional types of parties.

Information We Have About You

Various sources provide us with information about you. We may receive or derive information from:

- Your requests for Quest Capital products or services, such as your financial information on a new account application
- Your transactions with us, such as your account balances and transaction history
- Credit bureaus and other consumer reporting agencies

Information Quest Capital May Share

For legal and routine business reasons as allowed by law, Quest Capital shares information it has about you. For example, we may share information with regulatory authorities, such as SEC, FINRA, various state authorities and law enforcement; provide information to protect against fraud; share information with your consent and give account information to check and statement printers and other service providers who work for us. We may also share information we have about you, as described above, with firms Quest Capital hires to market Quest Capital products and services. Quest Capital does not share personally identifiable information it has about you with anyone, except as stated in this paragraph. If you have any questions regarding this policy, please feel free to contact us at (949) 830-4885.